

01-31-02

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

\$ Receipt #4



First Named Inventor: Creed

Serial No.: 10/003,712

Filed: October 29, 2001

Subject: System And Method For Configuring and Installing Individual Devices of a Home Entertainment System

Docket No.: 50R4793

ASSISTANT COMMISSIONER FOR PATENTS
ATTN: BOX MISSING PARTS
WASHINGTON, D.C. 20231

**TRANSMITTAL LETTER - RESPONSE TO NOTICE TO FILE MISSING
PARTS OF NONPROVISIONAL APPLICATION; REQUEST FOR CORRECTED
FILING RECEIPT**

Dear Sir:

The Filing Receipt dated December 20, 2001, lists the patent application title incorrectly. The Filing Receipt lists the title as "System and method for configuring and installing individual devices of a home **entertainments** system". Please correct the title to read "System and method for configuring and installing individual devices of a home **entertainment** system".

In response to the Notice to File Missing Parts of Nonprovisional Application dated December 20, 2001 transmitted herewith are the following:

1. Copy of the incorrect Filing Receipt;
2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
3. A declaration and power of attorney executed by inventors (4 pgs.);
4. An assignment (3 pgs.), along with Recordation Form Cover Sheet;
5. Two checks for \$888 for the filing fees and missing parts surcharge, and \$40 for the recordation of assignment;
6. Acknowledgment postcard.

Respectfully submitted,

John L. Rogitz, Atty. of Record, Reg. No. 33,549
750 "B" Street, Suite 3120, San Diego, CA 92101
Telephone: (619) 338-8075

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this document, together with any papers described as attached or enclosed, is being deposited with the United States Postal Service, "Express Mail Post Office to Addressee" service, Express Mailing label No. EV044358468 US under 37 CFR §1.10, addressed to Box MISSING PARTS, Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202 on JANUARY 29, 2002.

Date Signed: JANUARY 29, 2002

JOHN L. ROGITZ, Attorney of Record
Registration No. 33,549

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,712	10/29/2001	Anthony Lionel Creed	50R4793

CONFIRMATION NO. 2617

FORMALITIES LETTER



OC000000007219908

Rogitz & Associates
Suite 3120
750 B Street
San Diego, CA 92101

Date Mailed: 12/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$18.
 - \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/05/2002 SDENBOB1 00000056 10003712

01 FC:101	740.00 OP
02 FC:103	18.00 OP
03 FC:105	130.00 OP

1168.031



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/003,712	10/29/2001	2614	0.00	50R4793	2	21	3

CONFIRMATION NO. 2617

FILING RECEIPT



OC000000007219907

Rogitz & Associates
Suite 3120
750 B Street
San Diego, CA 92101

Date Mailed: 12/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Anthony Lionel Creed, San Diego, CA;
Aaron Dew, San Diego, CA;
Matthew Chang, San Diego, CA;
Rolf Toft, San Francisco, CA;

Assignment For Published Patent Application

SONY CORPORATION, Tokyo, JAPAN;
SONY ELECTRONICS INC., Park Ridge, NJ;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/19/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

System and method for configuring and installing individual devices of a home entertainments
system

Preliminary Class

348

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

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